

(Genetic diversity) 1. Entropy and other information theory approaches are used to quantify how genetically diverse a population is. The (Shannon) entropy represents the amount of uncertainty in the information. A given gene can be either on with probability $0 \leq x \leq 1$, or off with probability $0 \leq y \leq 1$. The Shannon entropy for the gene is

$$H = -x \log x - y \log y$$

Find x and y so that the genotype is the most unpredictable.

(*hint*: It amounts to finding when the Shannon entropy is the greatest. Make sure you understand why this is the case.)

(Half-life and carbon dating) 2. Researchers at Charlie Lake in BC have found some artifacts. For instance, a butchered bison bone that contains 0.25 mg of ^{14}C isotope. A comparable bone of a bison alive today contains about 1 mg of ^{14}C . We know that the half-life of ^{14}C is 5730 years. How many years ago could human habitation be dated back to in this region?

Solution

①

1. (Genetic diversity)

Notice a gene can only have one of two states: either on or off. So

$$x + y = 1 \quad (\text{constraint})$$

Genotype is the most unpredictable = maximal amount of uncertainty in the information = maximize the Shannon entropy.

The question is essentially:

log interpreted as ln.

$$\begin{aligned} &\text{maximize } H = -x \log x - y \log y \\ &\text{under the constraint } x + y = 1 \end{aligned}$$

$x + y = 1 \Rightarrow y = 1 - x$. Plug into the objective function

$$H = \underbrace{-x \log x}_{\text{Part 1}} - \underbrace{(1-x) \log(1-x)}_{\text{Part 2}}$$

chain rule:
Don't forget "-" sign!

$$H'(x) = \underbrace{-\log x - x \cdot \frac{1}{x}}_{\text{Part 1 product rule}} - \underbrace{(-1) \log(1-x) - (1-x) \cdot \frac{-1}{1-x}}_{\text{Part 2 product rule + chain rule}}$$

$$= -\log x + \log(1-x)$$

$$= \log \frac{1-x}{x}$$

$$H'(x)=0 \Rightarrow \log \frac{1-x}{x} = 0 \Rightarrow \frac{1-x}{x} = 1$$

$$\Rightarrow x = \frac{1}{2} \quad \text{--- C.P.}$$

$$H''(x) = -\frac{1}{x} + \frac{-1}{1-x}$$

$$= \frac{-(1-x)-x}{x(1-x)} = \frac{-1}{x(1-x)}$$

Since $0 \leq x \leq 1$, $H''(x) < 0$, $H(x)$ is concave down. By SDT, H attains a local max at $x = \frac{1}{2}$. It is the only C.P. in $0 < x < 1$. So it's the global max.

Hence, the gene is the most unpredictable when there is an equal chance for it to be on or off:
 $x = y = \frac{1}{2}$.

2. (Carbon dating)

Originally, the bison bone contains an amount of ^{14}C :

$$Q_{\text{old}} = 1 \text{ mg.}$$

With every $t_{1/2} = 5730$ years, the amount of ^{14}C drops by half. Thus

$$Q_{\text{now}} = Q_{\text{old}} \cdot \left(\frac{1}{2}\right)^{\frac{t}{t_{1/2}}}$$

$$\Rightarrow 0.25 \text{ mg} = 1 \text{ mg} \cdot \left(\frac{1}{2}\right)^{\frac{t}{t_{1/2}}}$$

$$\Rightarrow \left(\frac{1}{2}\right)^{\frac{t}{t_{1/2}}} = \frac{1}{4}$$

$$\Rightarrow \frac{t}{t_{1/2}} = 2$$

$$\Rightarrow t = 2t_{1/2} = 11460 \text{ years.}$$

So the butchered bone indicates that human activity in this region can be dated back to at least 11460 years ago.

Remark: notice that all the units also work out consistently.